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33035M077

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Manabu Yoshimura, et al.

Serial No.: 09/913,160

Group Art Unit: Unassigned

Filed : August 10, 2001

Examiner: Unassigned

For : OPTICAL SEMICONDUCTOR MODULE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/E0/US)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed October 1, 2001, Applicants file herewith the following documents relating to the above-identified application:

- (1) A copy of the Notification of Missing Requirements;
- (2) Executed Declaration/Power of Attorney;
- (3) Assignment Recordation Cover Sheet and Executed Assignment;
- (4) Supplemental Information Disclosure Statement;
- (5) PTO Form 1449 and cited references; and
- (6) A check in the amount of \$170.00 to cover the surcharge fee and recordation fee.

Please charge any additional fees which may be necessary to Deposit Account No. 02-4300 and credit any overpayment to said Deposit Account. This includes any additional fees under 37 C.F.R. § 1.16 and 1.17.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By: 

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November 30, 2001



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Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20590, www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/913,160	Manabu Yoshimura	33035.001

INTERNATIONAL APPLICATION NO.

PCT/JP00/08741

I.A. FILING DATE	PRIORITY DATE
12/11/2000	12/10/1999

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CONFIRMATION NO. 4910

371 FORMALITIES LETTER



OC000000006816415

Date Mailed: 10/01/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the

provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/913,160	PCT/JP00/08741	33035.001